



PTO/SB/21 (09-04)

**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

10

Application Number

10/613,443

Filing Date

July 3, 2003

First Named Inventor

GOLDFARB, ERIC A.

Art Unit

3731

Examiner Name

POUS, NATALIE R

Attorney Docket Number

020489-000120US

**ENCLOSURES (Check all that apply)**☐

Fee Transmittal Form

☐

Fee Attached

☐

Amendment/Reply

☐

After Final

☐

Affidavits/declaration(s)

☐

Extension of Time Request

☐

Express Abandonment Request

☐

Information Disclosure Statement

☐

Drawing(s)

☐

Licensing-related Papers

☐

Petition

☐Petition to Convert to a  
Provisional Application☐Power of Attorney, Revocation  
Change of Correspondence Address☐

Terminal Disclaimer

☐

Request for Refund

☐

CD, Number of CD(s) \_\_\_\_\_

☐

Landscape Table on CD

☐

After Allowance Communication to TC

☐Appeal Communication to Board  
of Appeals and Interferences☐Appeal Communication to TC  
(Appeal Notice, Brief, Reply Brief)☐

Proprietary Information

☐

Status Letter

☒Other Enclosure(s) (please identify  
below):

Request for Corrected Filing Receipt

ADS previously submitted

Return Postcard

☐Certified Copy of Priority  
Document(s)☐Reply to Missing Parts/ Incomplete  
Application☐Reply to Missing Parts  
under 37 CFR 1.52 or 1.53

Remarks

The Commissioner is authorized to charge any additional fees to Deposit  
Account 20-1430.**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm Name

Townsend and Townsend and Crew LLP

Signature

Printed name

James M. Heslin

Date

April 28, 2006

Reg. No.

29,541

**CERTIFICATE OF TRANSMISSION/MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

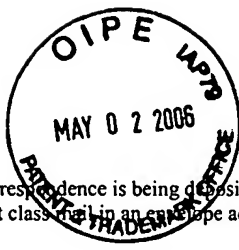
Signature

Typed or printed name

JoAnn Evangelista

Date

April 28, 2006



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P.O. Box 1450  
Alexandria, VA 22313-1450

On April 28, 2006

TOWNSEND and TOWNSEND and CREW LLP

By: Jo Ann Evangelista  
Jo Ann Evangelista

PATENT  
Attorney Docket No.: 020489-000120US

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

GOLDFARB, Eric et al.

Application No.: 10/613,443

Filed: July 3, 2003

For: METHODS AND APPARATUS  
FOR CARDIAC VALVE REPAIR

Customer No.: 20350

Confirmation No. 6557

Examiner: POUS, NATALIE R

Technology Center/Art Unit: 3731

**REQUEST FOR CORRECTED FILING  
RECEIPT**

Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Issuance of a corrected filing receipt is respectfully requested. An original filing receipt was never received by Applicants. However, the order of inventors is not correctly listed on PAIR. It appears that the order of inventors of the parent case (U.S. Application No. 09/544,930) was incorrectly used in the present application. Applicants attach herewith a copy of the Application Data Sheet originally submitted with the present application and request that

Appl. No. 10/613,443

PATENT

Request for corrected filing receipt dated April 28, 2006

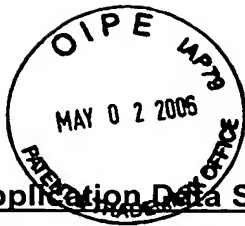
the order of inventors be corrected to match the Application Data Sheet. This correction does not represent any change in inventorship. Please generate a corrected filing receipt to reflect this correction.

Applicants believe that no fee is required for submission of this request. However, if a fee is required, the Commissioner is authorized to deduce such fee from the undersigned's Deposit Account No. 20-1430. Please deduce any additional fees from, or credit any overpayment to, the previously noted deposit account.

Respectfully submitted,

James M. Heslin  
Reg. No. 29,541

TOWNSEND and TOWNSEND and CREW LLP  
Two Embarcadero Center, Eighth Floor  
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Tel: 650-326-2400  
Fax: 415-576-0300  
Attachments: *Application Data Sheet*  
D3P:jke  
60752928 v1



## Application Data Sheet

### **Application Information**

Application number::  
Filing Date:: 07/03/03  
Application Type:: Continuation  
Subject Matter:: Utility  
Title:: METHODS AND APPARATUS FOR CARDIAC  
VALVE REPAIR  
Attorney Docket Number:: 020489-000120US  
Request for Early Publication:: No  
Request for Non-Publication:: No  
Suggested Drawing Figure:: 16  
Total Drawing Sheets:: 84  
Small Entity?:: Yes  
Petition included?:: No  
Secrecy Order in Parent Appl.: No

### **Applicant Information**

Applicant Authority Type:: Inventor  
Primary Citizenship Country:: US  
Status:: Full Capacity  
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Postal or Zip Code of mailing address:: 94061

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Country of mailing address:: US  
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State or Province of mailing address:: CA  
Country of mailing address:: US  
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Given Name:: FEROLYN  
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State or Province of Residence:: CA

Country of Residence:: US  
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State or Province of mailing address:: CA  
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Applicant Authority Type:: Inventor  
Primary Citizenship Country:: US  
Status:: Full Capacity  
Given Name:: FREDERICK  
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Country of Residence:: US  
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City of Mailing Address:: Menlo Park  
State or Province of mailing address:: CA  
Country of mailing address:: US  
Postal or Zip Code of mailing address:: 94025

### **Correspondence Information**

Correspondence Customer Number:: 20350

### **Representative Information**

Representative Customer Number:: 20350

### **Domestic Priority Information**

Application::	Continuity Type::	Parent Application::	Parent Filing Date::
This Application	Continuation of	09/544,930	04/07/00
09/544,930	An Appn claiming benefit	60/128,690	04/09/99
	under 35 USC 119(e) of		



**Assignee Information**

Assignee Name:: EVALVE, INC.  
Street of mailing address:: 2761 Fair Oaks Avenue  
City of mailing address:: Redwood City  
State or Province of mailing address:: CA  
Country of mailing address:: US  
Postal or Zip Code of mailing address:: 94063